



GAU 2743  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re application of: Pei et al.

Application No.: 09/619,847

Filed: July 20, 2000

Title: ELECTROACTIVE POLYMERS

Atty Docket No.: SRI1P026/US-4152-2

Examiner: Not Assigned

Group: 2743

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Assistant Commissioner for Patents, Washington, DC 20231 on February 14, 2001.

Signed: \_\_\_\_\_

Joyce L. Ferreira

INFORMATION DISCLOSURE STATEMENT WITH STATEMENT  
UNDER 37 CFR §§1.56 AND 1.97

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449 copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding PCT application. A copy of the PCT Search Report which was dated December 28, 2000, is enclosed herewith as well. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

This Information Disclosure Statement is not to be construed as a representation that additional information (material to the examination of this application) does not exist or that the enclosed reference(s) constitute prior art.

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Statement under 37 CFR § 1.97(e)

The undersigned hereby states that the references enclosed herewith were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. (37 CFR 1.97(e)(1))

Accordingly, it is respectfully submitted that no fee is due in conjunction with the filing of this IDS. However, should the Commissioner determine that additional fees are required for filing the present Information Disclosure Statement, the Commissioner is hereby authorized to charge any such fees to our deposit account number 500388 (Order No. SR11P026).

Respectfully submitted,

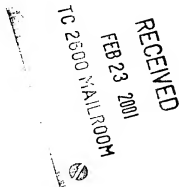
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Limited Recognition under 37 C.F.R. §10.9(b)

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Form 1449 (Modified)

**Information Disclosure  
Statement By Applicant**

(Use Several Sheets if Necessary)

Atty Docket No.  
SR11P026/US-4152-2

Applicant:

Pei *et al.*

Filing Date

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Application No.:

09/619,847

Group

2743

**U.S. Patent Documents**

| Examiner Initial | No. | Patent No. | Date     | Patentee                 | Class | Sub-class | Filing Date |
|------------------|-----|------------|----------|--------------------------|-------|-----------|-------------|
|                  | A   | 6,048,622  | 04/11/00 | Hagood <i>et al.</i>     |       |           | 02/09/99    |
|                  | B   | 5,915,377  | 06/29/99 | Coffee                   |       |           | 01/24/97    |
|                  | C   | 5,430,565  | 07/04/95 | Yamanouchi <i>et al.</i> |       |           | 06/02/93    |
|                  | D   | 5,250,784  | 10/05/93 | Muller <i>et al.</i>     |       |           | 10/24/91    |
|                  | E   | 4,518,555  | 05/21/85 | Ravinet <i>et al.</i>    |       |           | 06/14/83    |
|                  | F   | 4,401,911  | 08/30/83 | Ravinet <i>et al.</i>    |       |           | 03/02/81    |
|                  | G   | 4,384,394  | 05/24/83 | Lemonon <i>et al.</i>    |       |           | 05/13/81    |

**Foreign Patent or Published Foreign Patent Application**

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation |    |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
|                  |     |              |                  |                          |       |           | Yes         | No |
|                  | J   |              |                  |                          |       |           |             |    |
|                  | K   |              |                  |                          |       |           |             |    |
|                  | L   |              |                  |                          |       |           |             |    |
|                  | M   |              |                  |                          |       |           |             |    |
|                  | N   |              |                  |                          |       |           |             |    |

**Other Documents**

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|------------------|-----|--|
|                  | O   |  |
|                  | P   |  |
|                  | Q   |  |
| Examiner         |     | Date Considered  |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.